

Data Sheet

USAID Mission:	Ukraine
Program Title:	Good Governance
Pillar:	Democracy, Conflict and Humanitarian Assistance
Strategic Objective:	121-0224
Status:	New
Proposed FY 2003 Obligation:	\$9,004,000 FSA
Prior Year Unobligated:	\$0
Proposed FY 2004 Obligation:	\$8,117,000 FSA
Year of Initial Obligation:	FY 2003
Estimated Completion Date:	FY 2007

Summary: Good governance assistance will be provided for: increased autonomous and responsive local self-governance; more effective, independent and representative legislature; and adherence to the rule of law.

Inputs, Outputs, Activities:

FY 2003 Program:

Autonomous and responsive local government (\$6,300,000 FSA). USAID will provide support to further develop the capabilities of the Association of Ukrainian Cities (AUC) in the areas of member services and communication, and lobbying for better national legislation for local self-governance. This will be accomplished by assisting the regional offices in 23 oblast capital cities to build their capacities to deliver training to member cities in the areas of budgeting, strategic planning for economic development, public procurement, citizen participation, and use of computer equipment for communication. USAID will prepare both the regional offices' staff to become trainers in these areas and municipal officials from over 380 member cities. USAID will also train AUC's core staff in effective advocacy. The Community Partnerships Program will facilitate technical assistance to 14 Ukrainian partner cities and five Regional Training Centers will deliver a wide variety of training programs to local governments, civil society organizations, and businesses. The graduation of some cities will reduce the number of active partnerships in late FY 2003 or beginning of FY 2004. This year and next, In addition, the publication of a quarterly journal and newspaper for municipal professionals will reach more than 4,000 public officials across Ukraine.

To improve basic municipal services, the Tariff Reform Project will prepare a comprehensive toolkit for strategic planning, improved management of water/heat services, and equitable fee pricing based upon customer involvement and implementing new price structures. The toolkit will incorporate the results and lessons learned from national tariff reform implementation in the two pilot cities. Fifteen Ukrainians will be trained to create an indigenous capacity to disseminate the toolkit through training and expert support for reform implementation.

By the end of FY 2003, USAID plans to launch a new Local Economic Development (LED) Project that, during FY 2003 and FY 2004, will competitively select 15 participating cities. The project will work with these cities to create an enabling environment for both new and existing business to prosper and provide employment. In addition to local government capacity building, the focus will be on forging partnerships between the public, private, and civil society sectors for strategic planning and implementation of community economic development initiatives. The project will also work with indigenous advocacy NGOs, such as the AUC and others, to promote an improved national legal and regulatory environment that provides local governments the authority and autonomy to better manage the affairs of the city in supporting economic development. By late FY 2004, the number of partner cities involved in the program should increase. Implementers include CH2M HILL, U.S.-Ukraine Foundation, Research Triangle Institute, PADCO, and a new implementer for LED.

More effective, independent, and representative legislature (\$1,379,000 FSA). In FY 2003, parliamentary strengthening will support two objectives: facilitating the advancement of reform legislation and strengthening the Verkhovna Rada (parliament) as a legislative institution. The Parliamentary Development Program (PDP) will continue providing comparative information on various aspects of the legislative process such as guidance on judicial, administrative, and local government reform, through research and analysis on individual legislative and parliamentary issues, and consultations with international experts. The newly passed Law on the Judiciary, which requires the adoption of procedural codes as well as other important legislation, will be assisted by PDP's analyses and comparative information. For the advancement of legal reforms, PDP plans to conduct at least five roundtables and conferences and co-organize two committee hearings with other USAID implementers and NGOs.

PDP facilitates the conduct of committee hearings and provides consultations for the Secretariat, committees, and factions of the Verkhovna Rada on how to make the legislative process more efficient through greater transparency. In FY 2003, PDP will hold three policy analysis workshops for parliamentary staff and interns; organize a seminar on parliamentary oversight for newly elected members; and disseminate at least three information bulletins on legislative issues. PDP will also continue to administer the Parliamentary Internship Program for more than 50 university students, selected from all regions of Ukraine. Interns will support the Rada by helping committees prepare draft legislation for the first and second readings; conducting research and compiling comparative information to aid the MPs' assistants and parliamentary staff in developing legislation; and providing logistical support. As the current program ends in FY 2003, USAID expects to fund a follow-on project by the start of FY 2004. The new project, "Democratic Parliamentary Strengthening Program", will focus on: facilitating more effective and democratic internal management systems in the Parliament, improving legislative-executive relations, and increasing citizens' access and feedback to the legislative process. The principal grantee is Indiana University. In late FY 2003, USAID will select a new implementing partner for activities relating to legislative strengthening.

Adherence to rule of law (\$1,325,000 FSA). USAID promotes advocacy for the rule of law through funding for 12 advocacy centers, including four new regional centers, and continuing support for 20 student legal clinics. Training will be provided to improve the quality of services being given by these indigenous organizations. Special emphasis will be placed on promoting pro bono activities within commercial law firms and making legal services more readily available beyond large metropolitan areas. USAID plans to issue, in conjunction with other donors, a legal clinic textbook and host two conferences addressing sustainability and the state of practical clinical education in FY 2003. To improve court capacity, implementation of the case flow management system (CFMS) in the Shevchenkivsky and Boryspil local courts in Kyiv Oblast and the Kyiv Oblast court will be completed in FY 2003 and, assuming continued cooperation of the State Judicial Administration, CFMS will be introduced into the clerks' offices of six oblast courts. In cases where there is a lack of financing for advocacy centers to litigate major action lawsuits such as class actions, etc. the program will continue to maintain its legal defense fund. To promote judicial independence, judicial associations will be strengthened with training in strategic planning, sustainability and board governance. In addition, USAID will contribute to the establishment of legal resource libraries in each of the 24 chapters of the state-wide judicial association. The principal grantee is the American Bar Association/Central European and Eurasian Law Initiative (ABA/CEELI).

FY 2004 Program:

Local self-governance (\$6,017,000 FSA): Two new local government programs are being considered for 2004. Through the assistance of the Development Credit Authority, which would provide micro-credit, the first program would improve municipalities' and communal utility agencies' access to commercial credit for much needed infrastructure improvement. Limited assistance funds would leverage investments in municipal infrastructure. The second program would increase technical assistance to an emerging community of local government support organizations (LGSOs) to develop their capacity to provide professional consulting and advocacy services to local governments. The activity would assist LGSOs to improve their administrative and financial management, and to attain financial viability.

More effective, independent, and representative legislature (\$1,150,000 FSA). With a new implementer chosen toward the end of FY 2003, all activities described above would be continued in FY 2004.

Adherence to rule of law (\$950,000 FSA). ABA/CEELI would continue work begun during FY 2003.

Performance and Results: In late FY 2003, USAID plans to assist another 30-50 Ukrainian cities to improve: the delivery of services to citizens; local government administrative and financial management; transparency of local government operations; citizen participation in decision-making; and the financial independence of local governments. USAID expects that AUC's communications with members will have been demonstrably improved and that the association will have effectively implemented advocacy initiatives to promote the adoption of improved legislation that affects local self-governance. By the middle of FY 2003, the first group of some 15 cities will be selected for a new Local Economic Development Project and by end of FY 2004, concrete strategic plans will have been developed through an inclusive process that promotes community economic development. Five regional training centers that operate under the Community Partnerships Program are aiming to train another 6,000 municipal officials, representatives of local businesses, and NGO members from all over the country in various aspects of community development.

USAID expects that, by the end of FY 2003, several parliamentary committees will improve the reform character of draft legislation. Training seminars, policy analysis, hearings, and distribution of analytical papers, will also contribute to more transparent and effective legislative processes.

At least three of ABA/CEELI's regional legal partners will graduate from USAID funding due to their improved capacity. The legal defense fund will have financed court costs for at least 10 cases involving impact litigation. Court efficiency, measured by decreasing case pendency in courts that use the full case flow management system (CFMS), will rise as will the utility of CFMS with each additional court that becomes integrated into the system, including the six courts scheduled to receive the clerical version of CFMS this year. Initial stirrings of judicial self-governance should gain momentum with assistance to 24 regional chapters of the newly established National Independent Judges Association and the formalization of membership.

US Financing in Thousands of Dollars

Ukraine

121-0224 Good Governance	FSA
Through September 30, 2001	
Obligations	0
Expenditures	0
Unliquidated	0
Fiscal Year 2002	
Obligations	0
Expenditures	0
Through September 30, 2002	
Obligations	0
Expenditures	0
Unliquidated	0
Prior Year Unobligated Funds	
Obligations	0
Planned Fiscal Year 2003 NOA	
Obligations	9,004
Total Planned Fiscal Year 2003	
Obligations	9,004
Proposed Fiscal Year 2004 NOA	
Obligations	8,117
Future Obligations	0
Est. Total Cost	17,121